

**UTILITY  
PATENT APPLICATION**

Attorney Docket No. 1567.1029

**TRANSMITTAL**First Named Inventor or Application Identifier:  
II YU et al.

(Only for original applications)

Express Mail Label No.

**APPLICATION ELEMENTS**See MPEP chapter 600 concerning utility patent  
application contents.

ADDRESS TO:

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**

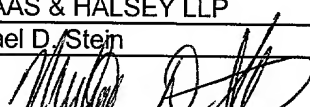
1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract .....[Total Pages: 11]
3. ☒ Drawing(s) (35 USC 113) .....[ Total Sheets: 3 ] [FIGS. 1-3 ]
4. ☐ Oath or Declaration .....[ Total Pages:      ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Verified Statement Claiming Small Entity Status
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76
7. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment (cover sheet & document(s)) to SAMSUNG SDI CO., LTD. of Republic of Korea  
☐ for publication of assignee information under 37 CFR 1.215(b)
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent
17. ☐ Other:

**18. CORRESPONDENCE ADDRESS****21171**

PATENT TRADEMARK OFFICE

|   |   |   |                     |                                  |                  |                  |  |
|---|---|---|---------------------|----------------------------------|------------------|------------------|--|
| <b>NEW APPLICATION<br/>FEE TRANSMITTAL</b>  |   | Attorney Docket No.   |                     | 1567.1029                        |                  |                  |  |
|   |   | Application Number  |                     | Unassigned                       |                  |                  |  |
|   |   | Filing Date   |                     | February 1, 2002                 |                  |                  |  |
| AMOUNT ENCLOSED   |   | \$  |                     | First Named Inventor             |                  | II YU et al.     |  |
| <b>FEE CALCULATION</b> (fees effective 10/01/00)  |   |   |                     |                                  |                  |                  |  |
| CLAIMS  | (1) FOR   | (2) NUMBER<br>FILED   | (3) NUMBER<br>EXTRA | (4) RATE                         | (5) CALCULATIONS |                  |  |
|   | TOTAL CLAIMS  | 9 - 20 =  | 0                   | X \$<br>18.00 =                  | \$ 0.00          |                  |  |
|   | INDEPENDENT<br>CLAIMS   | 2 - 3 =   | 0                   | X \$<br>84.00 =                  | 0.00             |                  |  |
|   | MULTIPLE DEPENDENT CLAIMS (any number;<br>if applicable)                            |   |                     | +                                | \$280.00         |                  |  |
|   |   |   |                     | BASIC FILING<br>FEE              | 740.00           |                  |  |
|   |   |   |                     | Total of above<br>Calculations = | \$ 740.00        |                  |  |
|   | Surcharge for late filing fee, Oath or Declaration (37 CFR<br>1.53(f)) (\$130.00)   |   |                     |                                  |                  |                  |  |
|   | Reduction by 50% for filing by small entity (37 CFR 1.27).                          |   |                     |                                  |                  |                  |  |
|   |   |   |                     | TOTAL FILING<br>FEE =            | \$ 740.00        |                  |  |
|   | Surcharge for filing non-English language application<br>(\$130.00; 37 CFR 1.52(d)) |   |                     |                                  |                  |                  |  |
|   | Recordation of Assignment (\$40.00; 37 CFR 1.21(h))                                 |   |                     |                                  |                  |                  |  |
|   |   |   |                     | TOTAL FEES<br>DUE =              | \$ 740.00        |                  |  |
| <b>METHOD OF PAYMENT</b>  |   |   |                     |                                  |                  |                  |  |
| <input type="checkbox"/> Check enclosed as payment.   |   |   |                     |                                  |                  |                  |  |
| <input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below.  |   |   |                     |                                  |                  |                  |  |
| <input checked="" type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time<br>(unless specifically required to obtain a filing date).   |   |   |                     |                                  |                  |                  |  |
| <b>GENERAL AUTHORIZATION</b>  |   |   |                     |                                  |                  |                  |  |
| <input type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby<br>authorized to credit any overpayment or charge any additional fees necessary to:  |   |   |                     |                                  |                  |                  |  |
| Deposit Account No.   |   | 19-3935   |                     |                                  |                  |                  |  |
| Deposit Account Name  |   | STAAS & HALSEY LLP  |                     |                                  |                  |                  |  |
| <input type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees<br>required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the<br>prosecution of this application, including any related application(s) claiming benefit hereof<br>pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or<br>continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any<br>such related application. |   |   |                     |                                  |                  |                  |  |
| SUBMITTED BY: STAAS & HALSEY LLP  |   |   |                     |                                  |                  |                  |  |
| Typed Name  |   | Michael D. Stein  |                     | Reg. No.                         |                  | 37,240           |  |
| Signature   |   |  |                     | Date                             |                  | February 1, 2002 |  |